

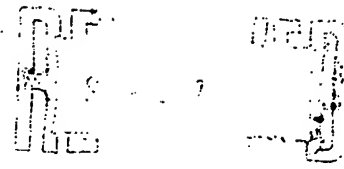
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## FILING CERTIFICATE

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Expected Laid-Open Date	: 2000/04/06	Your Reference	: 120-55CA
Priority Date	: United States of America (09/167,409) 1998/10/06		
Title of Invention	: SCALABLE NETWORK RESTORATION DEVICE		
Applicant(s)	: TELECOMMUNICATIONS RESEARCH LABORATORIES		
Inventor(s)	: STAMATELAKIS, DEMETRIOS; GROVER, WAYNE D.		

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## ABSTRACT OF THE DISCLOSURE

This invention provides a 'capacity slice' nodal switching device (in the ADM-like sense) that is designed for deployment under the p-cycle concept. The device's key architectural properties are access, east and west interfaces, with one spare and working port, on each of these interface sides, plus at least two straddling side interfaces. The straddling side interfaces each have equal line capacity to those of east and west interfaces, but all are usable for working capacity. In application, the plug cards in the nodal switching device are supplied to provide up to two line signal units on the straddling side of the p-cycle device, per diverse span arriving at the site. Network level deployment and configuration of the devices requires that they be arranged in p-cycles.

TITLE: Scalable Network Restoration Device

INVENTORS: Wayne Grover, Demetrios Stamatelakis

5 FIELD OF THE INVENTION:

This invention relates to restoration of capacity in a network, particularly a telecommunications network.

10 BACKGROUND OF THE INVENTION:

Cycle-oriented preconfiguration of spare capacity is a recent idea originated at TRILabs for the design and operation of restorable networks. It offers a valuable combination of attributes, mainly: it retains the capacity-efficiency of a mesh-restorable network, but it requires that only two nodes, the end nodes next to the fault, perform cross-connections for restoration. Moreover these nodes learn or can be told in advance what switching actions will be required, in detail, for any prospective failure. They can, thus, perform restoration switching in a manner that is essentially similar in function and speed to bi-directional line switched rings. This is thought to be a valuable combination of the best features from prior ring and mesh restoration principles. The work so far done on this scheme has been reported this June at two conferences and described in a patent application [1. W. D. Grover, D. Stamatelakis, "Cycle-Oriented Distributed Preconfiguration: Ring-like Speed with Mesh-like Capacity for Self-planning Network Restoration," Proceedings of IEEE International Conf. On Communications (ICC'98), Atlanta, June 1998, pp 537-543, 2. W. D. Grover, D. Stamatelakis, "Self-organizing closed path configuration of restoration capacity in broadband and mesh transport networks," Proceedings of IEEE - Nortel Can. Conf. Broadband Research (CCBR'98), Ottawa, June 1998, pp. 145-156 and 3. United States patent application no. 08/893,491, which was filed July 11, 1997].

These works describe the use of a nodal switching device at nodes of the networks being configured for restoration. A digital cross-connect switch (DCS) is given as an example. A DCS is a technically sound option for deployment. However, DCS machines continue to be relatively expensive investments for network operators. With the recent  
5 advent of dense wave division multiplexing (DWDM) on the fiber optic transmission systems between nodes, it may be more economic in practice to have a specialized nodal switching device to support the p-cycle restoration scheme.

#### SUMMARY OF THE INVENTION:

10 Our main purpose in the present patent proposal is fairly singular and direct; it is to protect the unique and unobvious structure of a fixed-capacity nodal device suited to the p-cycle restoration concept.

In summary, this invention provides a 'capacity slice' nodal switching device (in the ADM-like sense) that is designed for deployment under the p-cycle concept. The  
15 device's key architectural properties are access, east and west interfaces, with one spare and working port, on each of these interface sides, plus at least two straddling side interfaces. The straddling side interfaces each have equal line capacity to those of east and west interfaces, but all are usable for working capacity. In application, the plug cards in the nodal switching device are supplied to provide up to two line signal units on the  
20 straddling side of the p-cycle device, per diverse span arriving at the site. Network level deployment and configuration of the devices requires that they be arranged in p-cycles according to the theory in our prior papers (1, 2 and 3).

#### 25 BRIEF DESCRIPTION OF THE DRAWINGS:

There will now be described a preferred embodiment of the invention with reference to the figures, by way of example, without intending to limit the generality of the invention, in which figures like reference characters denote like elements, and in which:

Fig. 1 is a schematic showing the structure of a nodal switching device according to the invention;

Fig. 2 is a schematic showing internal traffic assignment in the nodal switching device of Fig. 1;

5 Fig. 3 is a schematic showing an exemplary network to demonstrate restoration for three span failures with a nodal switching device according to the invention;

Fig. 4 is a schematic showing restoration of span failure 1 in Fig. 3;

Fig. 5 is a schematic showing restoration of span failure 2 in Fig. 3;

Fig. 6 is a schematic showing restoration of span failure 3 in Fig. 3;

10 Fig. 7 is a schematic showing internal set-up for protection switching of a device according to Fig. 1; and

Fig. 8 is a schematic showing straddling links according to the invention.

## 15 DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

In Fig. 1, S1 is a spare (east) port, S2 is a spare (west) port, W1 is a working (east) port, W2 and W3 are working (south) ports and W4 is a working (west) port. The ports are shown logically separated, but each pair may share a single multiplexed digital signal. For, example each pair (east, west or south) could split the available payload in a single bidirectional OC-48 line. The three "sides" of the device are referred to as East, West and South (North being omitted but would be a placeholder for the local input / output access to working signals). These names are really, more generally, the designations of particular interfaces that are defined when the device is placed as part of a p-cycle (as defined in references 1, 2 and 3). That is, "East" and "West" (where both spare and working appear) are the interfaces to on-cycle spans of the respective p-cycle. The South (or "all working") interface is the interface to straddling spans of the respective p-cycle which the nodal switching device terminates. This leads to a generalization of the device configuration, where a p-cycle device of a given bandwidth is placed at a node where several spatially diverse straddling spans converge (see nodal switching device 10A in Fig. 3 and the discussion below).

30

The nodal switching device 10 shown in Fig. 1 has 'line-oriented' interfaces 12 to transmission systems arriving from three spatially distinct sources or directions. These may be SONET OC-n or D-WDM terminating interfaces. Like the nodal element for a SONET BLSR (an ADM) two of these line-oriented interfaces are divided into one-half for working traffic demand and one-half for spare (restoration) capacity. (e.g. two OC-n fiber pairs in a BLSR/4 or two OC-n/2 channel groups in a BLSR/2). These interfaces are designated east and west. Unlike a SONET ADM, the proposed device has on its third line-oriented interface 14, an equal total capacity to each of the other line interfaces 12 but all of the capacity on the interface 14 is used for working demands. There is no spare capacity allocation on the third line interface 14.

The nodal switching device 10 therefore has an asymmetric ( $2 \times W$  on one side, and 2 sides of  $\{1W, 1S\}$ ) structure. The nodal switching device 10 also has local traffic (functioning as an add-drop multiplexer) or may be full line-rate copies of the working signals for connection into other transport systems or local termination equipment.

Lines connecting ports within and outside the nodal switching devices described herein are all conventional communication links.

Internal traffic management in the nodal switching device 10 will depend on a particular application. For the purposes of showing how traffic is restored with such a device, the traffic going into and out of each working link is depicted as an internal traffic source/sink T1-T4 which are provided from the Local Interface ports of the nodal switching device 10.

The nodal switching device 10 has the following basic functions:

1. No failures: Connect all working ports to/from the local working demand input / output ports (or internal add-drop multiplex tributary selector). Also, connect spare (east) to/from spare (west) to support failures on spans at other nodes and support ADM cooperation.
2. Failure of east cable span: Connect working (east) to/from spare (west).
3. Failure of west cable span: Connect working (west) to/from spare (east).
4. Failure of 'south' cable span: Connect working (south A) to/from spare (west) AND connect working (south B) to/from spare (east)

All connect actions are referred to as “to/from”, to recognize that all the signal flows involved are actually bi-directional. Functions 2 and 3 are, by themselves, conventional functions of an ADM for a SONET BLSR.

Referring to Fig. 3, three nodal switching devices 10A and 10B and an add/drop multiplexer 20 are shown forming a capacity slice of a network that will be used to illustrate how the devices switch within themselves to restore a number of different span failures. Nodal switching device 10A is the same as nodal switching device 10, but includes additional straddling ports 24. Nodal switching device 10 shows the case where, at one node, the device 10 interfaces to a p-cycle and up to two units of capacity on one straddling span. There are, however, networking circumstances in which variants with 2, 4, 6 or more working ports 24 can usefully be provided on the southern face 14 (or straddling side) of the device 10A as shown in Fig. 3. The generalized device 10A functions like the device 10: Failure may be sustained on any two such “straddling side” interfaces 24, by switching their payload signals into the respective east and west direction spares S1, S2. However, there would be a difference in how failures are managed as follows:

1. No failures: Connect all working ports to/from the local working interface (input/output) ports (or internal add-drop multiplex tributary selector). Also, connect spare (east) to/from spare (west) to support failures on spans at other nodes and support ADM cooperation.
2. Failure of east cable span: Connect working (east) to/from spare (west).
3. Failure of west cable span: Connect working (west) to/from spare (east).
4. Failure of a ‘south’ cable span k (k in 1 to N): Connect working (south pair k, A) to/from spare (west) AND connect working (south pair k, B) to/from spare (east)

There may be any number of transmission interfaces on the southern “straddling” face 14. The device 10A in effect provides shared protection access to the two halves of the respective p-cycle on which it resides. At most two bi-directional straddling interface signal failures can be protected at once. Typically these would be associated with each other on the same physical span which has undergone a failure, but may be any two single working demand failures in the set of straddling spans interfaced to it. Thus there

is an availability advantage also provided in the case of single isolated failures, as opposed to outright cable cuts. Multiple pairs of working links can thus be protected if each pair resides on a straddling span that is physically disjoint from the other straddling spans.

5           In nodal switching device 10B, S4 is a spare (east) port, S3 is a spare (west) port, W8 is a working (east) port, W6 and W7 are working (south) ports and W5 is a working (west) port. In a conventional add/drop multiplexer 20, S5 is a spare (east) port, S6 is a spare (west) port, W9 is a working (east) port and W10 is a working (west) port.

Fig. 3 illustrates three possible span failures 1, 2 and 3. Span failure 1 is of a span, connecting a pair of the devices 10A, 10B, on the outside ring of the protection topology. The restoration of this failure is done in a manner similar to that of restoration in a BLSR ring and need not be further described. Span failure 2 is of a straddling span. Note that there are a number of straddling spans 24 on device 10A, each span carrying two complete line signals or sets of working channels. Nodal switching device 10A is able to restore any shared physical failure in this set of spans, unlike conventional network restoration systems, as it is able to restore the failure of a pair of oc-n line signals on this type of span. By comparison, rings can only restore a single oc-n line signal. Span failure 3 is similar to failure 1 but the restoration of the span is performed by cooperation of a nodal switching device 10B and a conventional ADM 20.

20           In the following discussion, only the traffic sources that are affected by a failure will be shown. Fig. 4 shows restoration of span failure 1. When failure 1 takes place the traffic that was flowing between traffic sources T1 and T5 is severed. This severed traffic flow is restored by switching source T1 from working port W1 to spare port S2, and switching port T5 from working port W5 to spare port S4. Physically, this may be carried out using a mechanism similar to that used in a BLSR ring, and so can match the speed of rings.

Fig. 5 shows restoration of span failure 2. Failure 2 severs the flows between sources T2 and T6 and sources T3 and T7. The flows are restored by switching source T2 from working port W2 to spare port S1, source T6 from port W6 to spare port S3, source T3 from port W3 to spare port S2, and source T7 from port W7 to port S4. Thus the two



severed working channels are restored using the two spare channels that result when the outer protection ring is bisected by span 2. As shown in Fig. 6, failure 3 is handled in a similar manner to failure 1, except that the restoration is handled between nodal switching device 10A and ADM 20.

5 Fig. 7 shows the internal working of device 10 (or 10A or 10B). The controller 30 contains the restoration control logic implemented in a computer in the manner discussed in this patent document. Switching devices 32 and 34, along with switching devices at the local interface ports T1-T4 represented by the bold bidirectional arrow and associated dashed connection line provide the switching of traffic to and from the spare ports S1 and  
10 S2. The switching devices are controlled by controller 30 in response to an alarm from one of the alarm bit feeds designated alarm 1-alarm 4. Alarm n is the alarm bit feed for working port n. The alarm conditions next to the bidirectional arrows and the associated dashed connection lines indicate when a connection is made on that particular branch of the switch. The alarm bit is high if there is an alarm condition, and low if operating  
15 normally. A connection is shown between the spare ports S1 and S2 because in normal operation these ports would be connected together. This is required so that intermediate nodes that are not alarmed pass the restoration signals of the alarmed nodes on the ends of a span failure.

Each working port has an alarm bit associated with it. If there is a failure, the  
20 corresponding alarm bit would be set and the restoration control logic would automatically activate the appropriate protection switch.

For example, in the normal operating condition, T1 is connected to W1 along the route signified by the bidirectional arrow at T1. In the case of an alarm condition on the span to which W1 is connected, traffic from T1 is switched to S2 via switch 34. All of the  
25 switching devices shown in Fig. 7 operate in like manner.

Fig. 8 shows three pairs of working links 24 on three straddling spans. Node X requires eight working ports (three ports for the three straddling spans plus the ports in the protection ring. The limit on the number of working channels on each straddling span is 2 as only two links on such a span can be restored by the outer ring of spare links (by  
30 routing both ways around the protection ring). The maximum working channels on a non-

straddling span (that is, a span with protection capacity on it) is 1, as in a p-cycle (by routing the other way round the failure through the protection ring).

The advantage of such a nodal switching device 10 is primarily as a more economic alternative to DCS machines. P-cycle 'capacity' slice devices, as described  
 5 above, can be deployed as and when needed, rather than requiring a large one-time commitment to establish a full-blown DCS node. In an era of DWDM transport this may be a more common requirement in many sites which need to receive only one or a few fibers, or even only a few wavelengths, to have all their capacity needs satisfied. In such a case, a single DWDM p-cycle device could suffice at that node to provide survivable  
 10 transport for all its needs, through access to up to four working fibers (or wavelengths) and a single spare capacity p-cycle of the network.

The properties of the nodal switching device 10 puts the device in a unique middle ground in terms of a networking element architecture between ring ADMs and full-blown digital cross connect (DCS) systems. It is characteristically like an ADM in  
 15 that it is has a precise discrete capacity. Many devices may be independently employed ("stacked up") at a site as needed for the total demand flowing through the location. This property is widely considered one of the benefits of rings over DCS which are large complete switching systems interfacing all the transmission capacity arriving at a node. But unlike an ADM, they provide a specific extra form of access (to the same amount of  
 20 spare capacity as in a ring) to working signal units. Specifically up to two working signals may be accommodated per device if they arrive on spans that are physically distinct from East and West spans, and, at the network level, bear a "straddling" relationship to the p-cycle on which the respective device is placed.

In practice, nodal degrees,  $d$ , are from 2 to 7 in the physical fiber route graphs of  
 25 typical networks. In a degree 2 site, a conventional ADM is the only meaningful choice. In all higher degree sites, however, there is an applications range for devices that support up to  $(d-2)$  "straddling side" interfaces. Thus, this invention provides for a novel networking optical product line that is characteristically like an ADM in that it is a 'capacity slice' device, but that supports far higher networking efficiencies, depending on  
 30 the application site through a number of optional plug in "straddling side" signal interface

port pairs. Whereas an ADM has redundancy = total spare / total working = 100%, a p-cycle networking device would have redundancy:

$$R = \frac{1}{k+1}$$

where k is the number of straddling spans interfaced at the site. A degree 5 site, could  
5 then have an individual nodal redundancy as low as 25% (i.e., k=3).

A person skilled in the art could make immaterial modifications to the invention described in this patent document without departing from the essence of the invention that is intended to be covered by the scope of the claims that follow.

## WE CLAIM:

1. A nodal switching device, comprising:
  - a first network interface having a first spare port and a first working port;
  - 5 a second network interface having a second spare port and second working port;
  - a third network interface having third and fourth working ports;
  - a first local interface port;
  - a first switching device connected to the first local interface port;
  - a first communication path between the first switching device and one of the first,
  - 10 second, third and fourth working ports;
  - a second communication path between the first switching device and one of the first and second spare ports;
  - a controller operably connected to the first switching device; and
  - the controller being configured to route traffic from the first communication path
  - 15 to the second communication path upon detection of a failure condition on a span connected to the one of the first, second, third and fourth working ports.
2. The nodal switching device of claim 1 in which the third and fourth working ports have equal total capacity to the total capacity on each of the first and second network
- 20 interfaces.
3. A nodal switching device, comprising:
  - a first network interface having a first spare port and a first working port;
  - a second network interface having a second spare port and second working port;
  - 25 a third network interface having third and fourth of working ports;
  - plural local interface ports, each local interface port being connected by a communication link with an associated one of the first, second, third and fourth working ports and being connectable by a communication link with each of the spare ports;
  - each communication link including a switching device;
  - 30 a controller operably connected to each switching device; and

the controller being configured to route traffic from one of the first, second, third and fourth working ports to one of the first and second spare ports upon occurrence of a failure on a span connected to the one of the first, second, third and fourth working ports.

- 5     4.     The nodal switching device of claim 3 in which the third and fourth working ports have equal total capacity to the total capacity on each of the first and second network interfaces.
  
- 10    5.     The nodal switching device of claim 3 in which:  
       the third network interface has plural pairs of working ports; and  
       plural local interface ports, each of the plural local interface ports being connected by a communication link with an associated one of the plural pairs of working ports and being connectable by a communication link with each of the spare ports, with each communication link including a switching device controlled by the controller to route  
 15    traffic from the plural pairs of working ports to one of the first and second spare ports upon occurrence of a failure on a span connected to the one of the plural pairs of working ports.
  
- 20    6.     A telecommunications network, comprising:  
       plural nodes connected in a ring and having at least one straddling span, wherein a nodal switching device terminating the straddling span comprises:  
       a first network interface having a first spare port and a first working port;  
       a second network interface having a second spare port and second working port;  
 25    a third network interface having third and fourth working ports;  
       plural local interface ports, each local interface port being connected by a communication link with an associated one of the first, second, third and fourth working ports and being connectable by a communication link with each of the spare ports;  
       each communication link including a switching device;  
 30    a controller operably connected to each switching device; and

the controller being configured to route traffic from one of the first, second, third and fourth working ports to one of the first and second spare ports upon occurrence of a failure on a span connected to the one of the first, second, third and fourth working ports.

5     7.     The telecommunications network of claim 6 in which, in the nodal switching device, the third and fourth working ports have equal total capacity to the total capacity on each of the first and second network interfaces.

8.     The telecommunications network of claim 6 in which:  
10     the nodal switching device terminates plural straddling spans;  
       the third network interface has plural pairs of working ports, each pair of working ports being connected to an associated one of the straddling spans; and  
       the nodal switching device has plural local interface ports, each of the plural local interface ports being connected by a communication link with an associated one of the  
15     plural pairs of working ports and being connectable by a communication link with each of the spare ports, with each communication link including a switching device controlled by the controller to route traffic from the plural pairs of working ports to one of the first and second spare ports upon occurrence of a failure on a straddling span connected to one of the plural pairs of working ports.

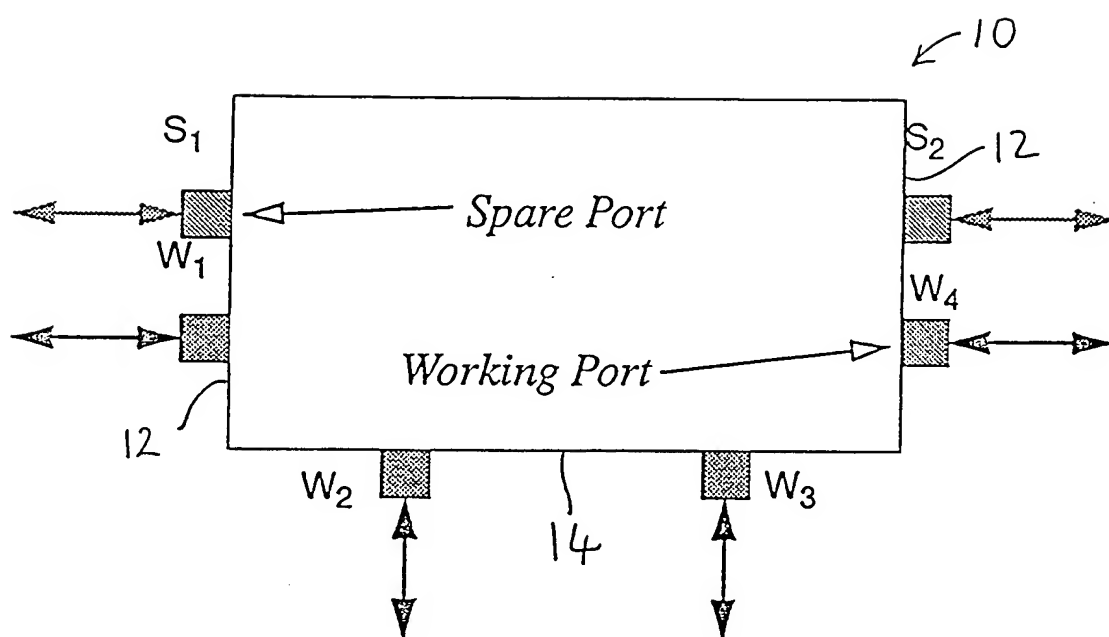


FIGURE 1

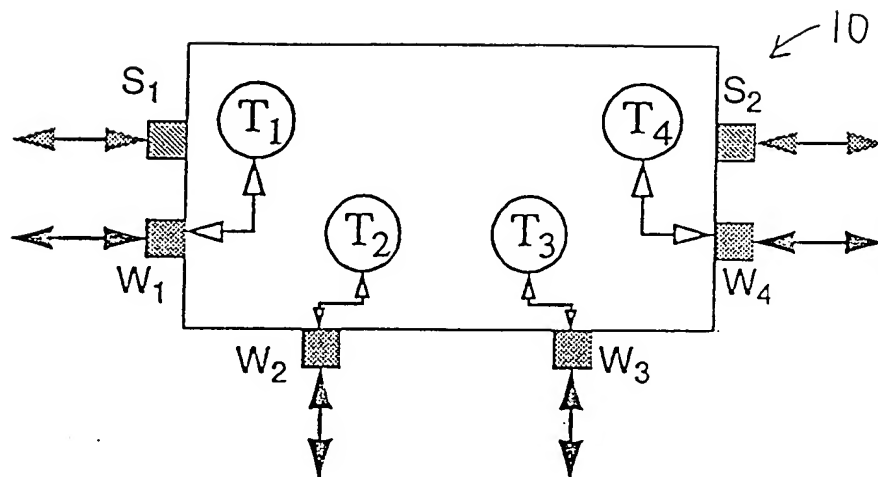


FIGURE 2



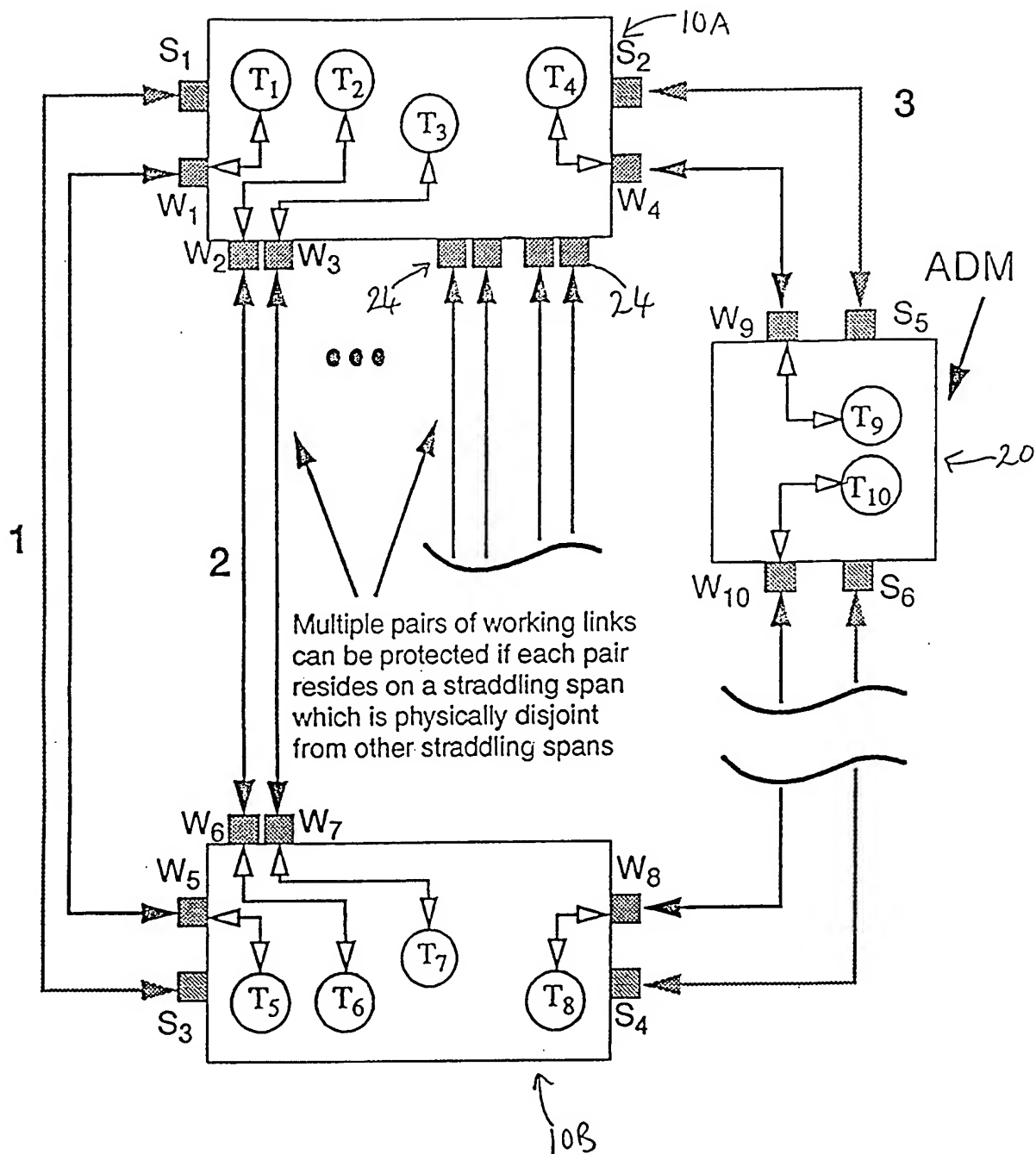


FIGURE 3

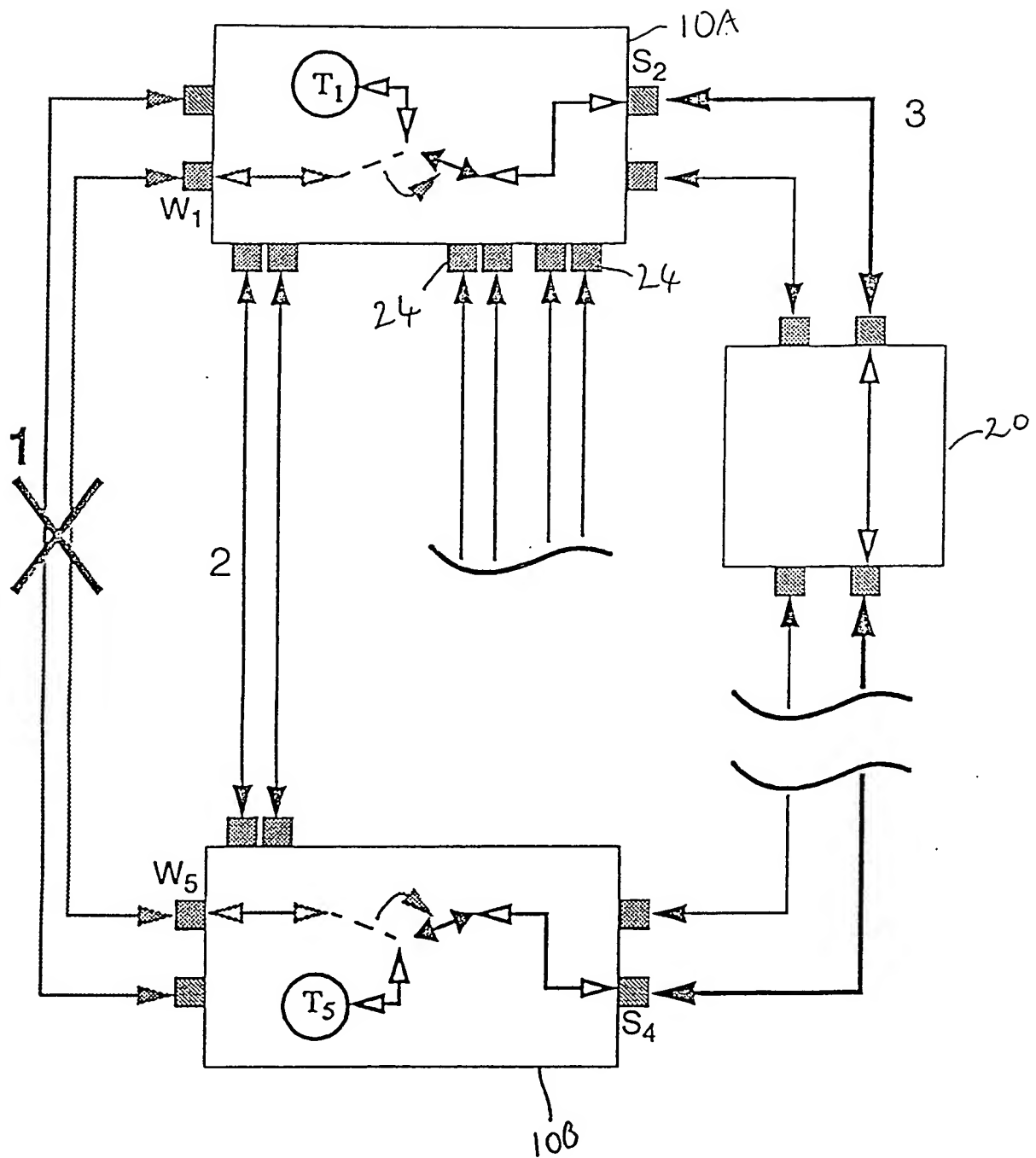


FIGURE 4

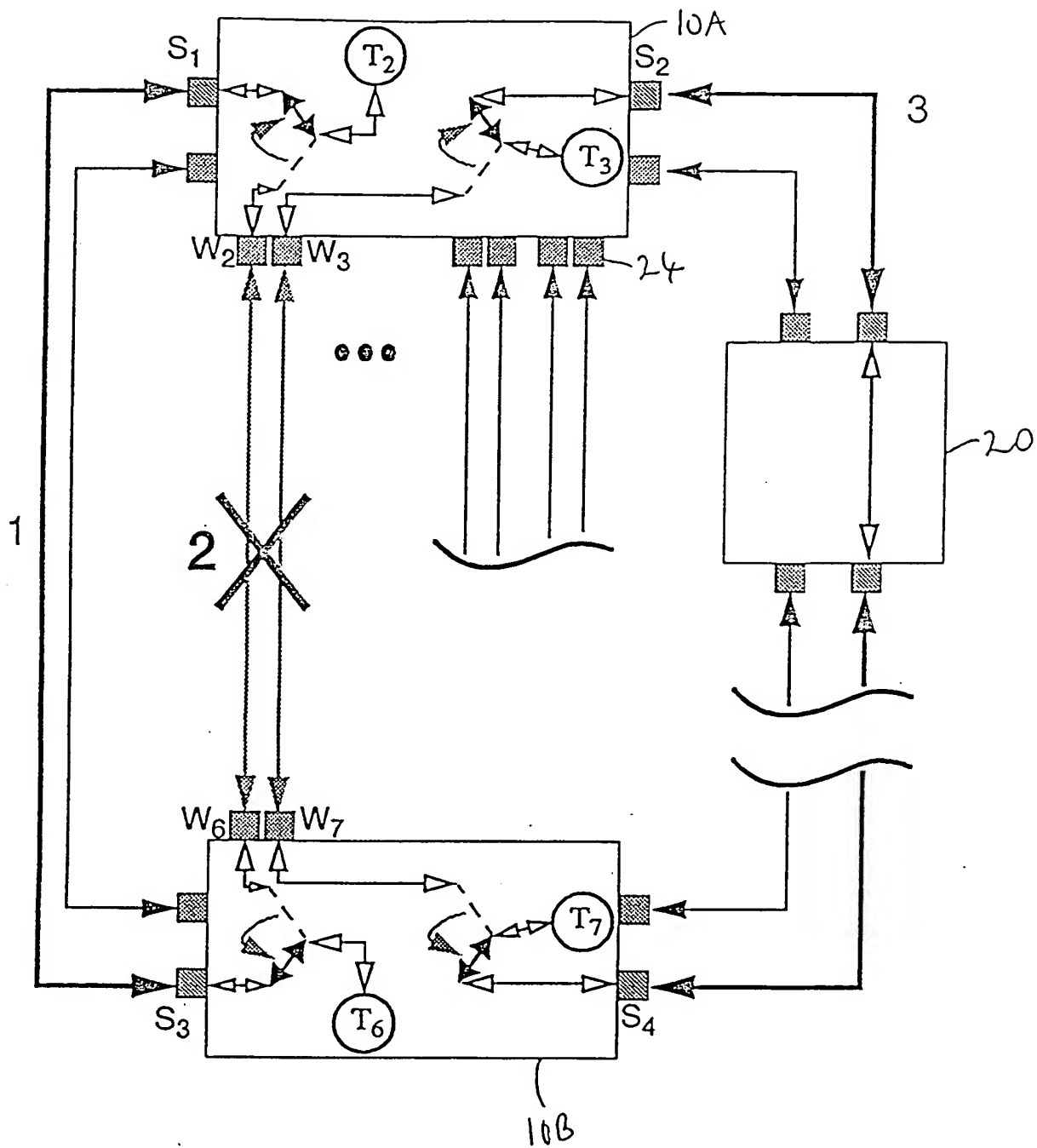


FIGURE 5

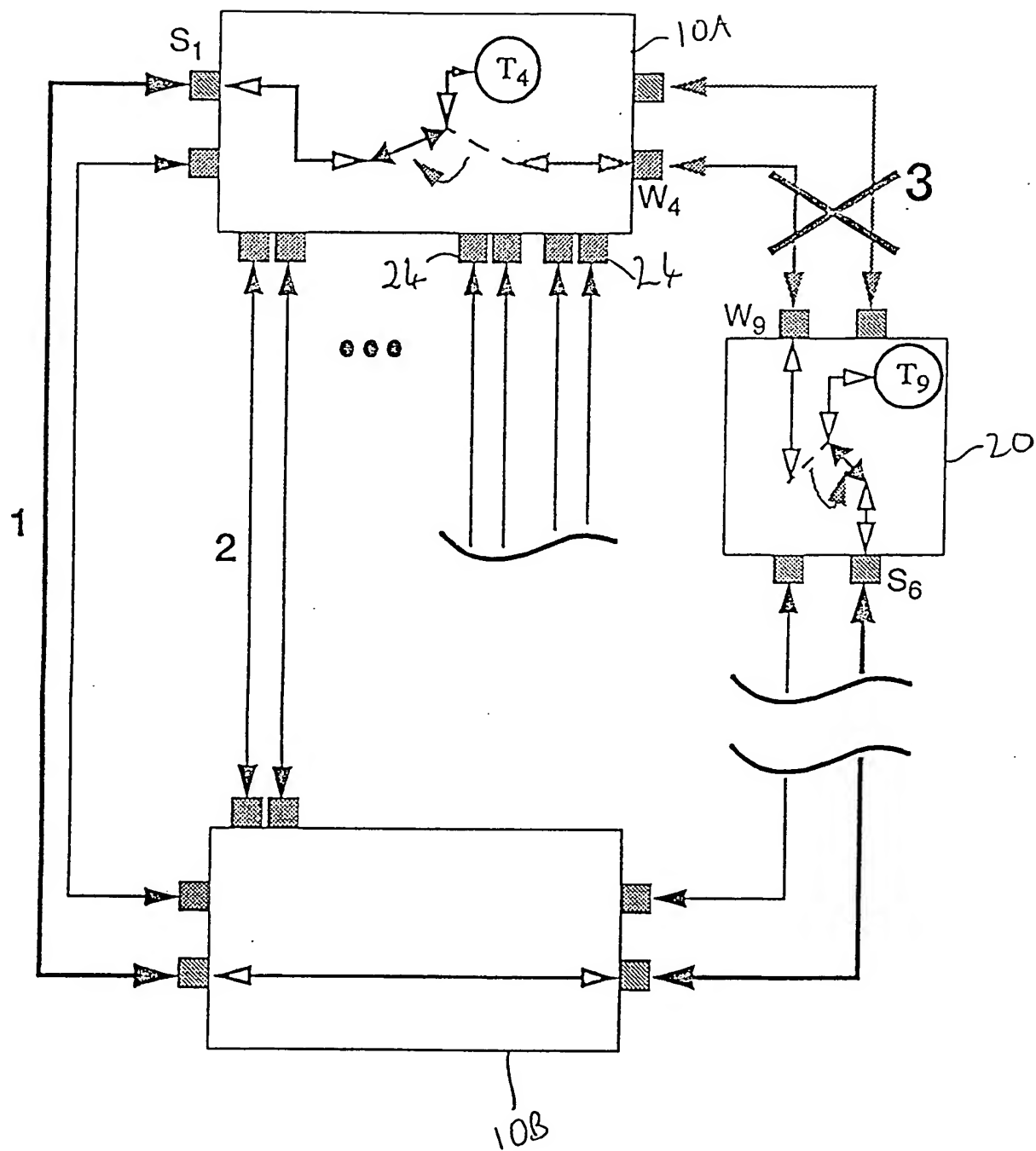


FIGURE 6

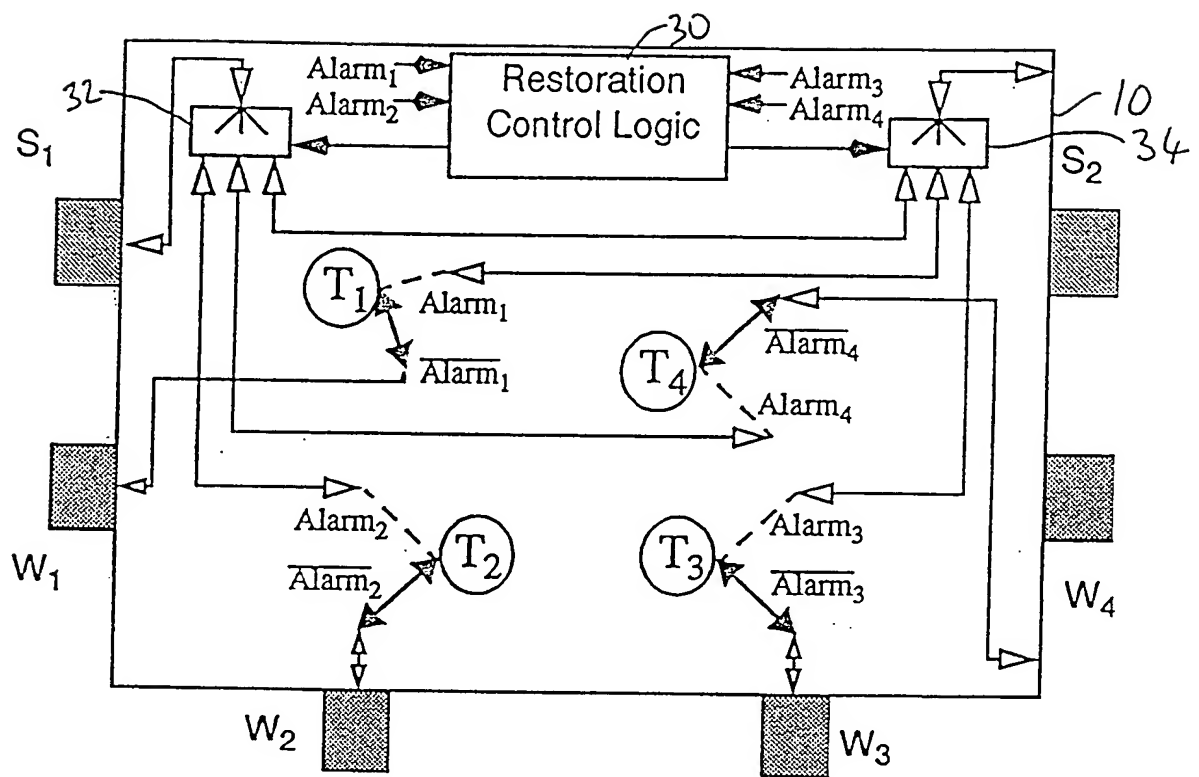


FIGURE 7

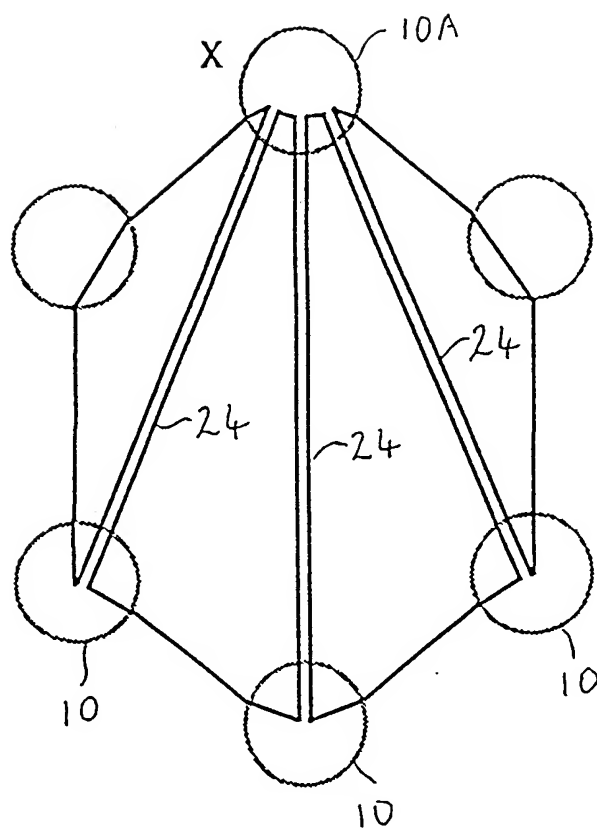


FIGURE 8

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